

# FAMILY BANKING ENROLLMENT FORMS

## SECTION 1 – CLIENT SERVICE AGREEMENT

This Agreement is between you and Cbr Systems, Inc. ("Cord Blood Registry" or "CBR") for newborn stem cell banking. You are electing to preserve cord blood and/or cord tissue. In this Agreement, cord blood and cord tissue are called "newborn stem cells" or "sample(s)."

### **1. Collection of the Newborn Stem Cells**

CBR® will provide you with a CBR CellAdvantage® Newborn Stem Cell Collection Kit to collect and transport the newborn stem cells. You will request that your healthcare provider collect the newborn stem cells. You agree to follow the instructions provided by CBR and your healthcare provider. CBR may provide your healthcare provider or other parties involved in the newborn stem cell banking with information about your enrollment, education, consent, and collection.

Although infrequent, complications may occur during birth that preclude the collection of newborn stem cells or affect the quality of the sample. Therefore, collection of newborn stem cells cannot be guaranteed. Your health and the health of your baby are your healthcare provider's first priorities. You agree that your healthcare provider's judgment is absolute and final. You agree to not hold your healthcare providers, hospital/birthing center, and its affiliates or its or their directors, officers, employees or agents responsible for the collection or failure to collect cord blood or cord tissue or for the handling of cord blood or cord tissue.

Your healthcare provider does not act as an agent of CBR. Payment for your healthcare provider's services are solely your responsibility. CBR pays a fee for collection services to physicians and midwives who are enrolled in CBR's Healthcare Provider Network.

### **2. Transport of the Sample(s)**

You will arrange for express shipment of the newborn stem cells to CBR's laboratory as soon as possible after collection. You may use One-Step Shipping<sup>SM</sup> which offers next-flight-out service, or another courier of your choice. One-Step Shipping is offered by CBR for your convenience and is performed by an independent company. No courier service can provide one hundred percent reliability, and on occasion, some samples may be delayed, lost, or damaged in transit. CBR has no responsibility or liability for third-party courier transport of the sample(s) to CBR's laboratory, including for One-Step Shipping.

### **3. Testing, Processing, and Storage of the Sample(s)**

After CBR receives the CellAdvantage kit, CBR will test, process, cryopreserve and store the cord blood stem cells. CBR will also test the birth mother's blood sample for HIV, hepatitis, syphilis, other conditions required by regulatory agencies, and as needed to determine the suitability of the sample for storage. There is no way to know if a sample can be stored until it arrives at CBR's laboratory.

You will be consulted regarding storage of the sample if it does not meet minimum cell count, viability, volume or other guidelines for storage as determined by CBR. CBR does not store samples associated with positive HIV test results. CBR retains the right to not store any newborn stem cell sample. In the event that a sample is not stored because it is deemed unsuitable for storage, you will be notified and CBR will refund all previously paid fees to you except for the shipping fee.

Cord tissue will require additional processing prior to use in medical treatment. Cord tissue is stored whole (the stem cells are not extracted as they are with cord blood). The science of cord tissue preservation is evolving and storing the cord tissue whole preserves options for future stem cell extraction. Additional fees may apply.

### **4. CBR's Commitment to Quality**

CBR provides a \$50,000 Quality Service Guarantee. In the event that the cord blood stem cells are used in hematopoietic

reconstitution and fail to engraft, CBR will refund all service fees paid by you to CBR for the cord blood stem cells and will pay you an additional \$50,000. This Guarantee is subject to important terms and conditions, available at [https://www.cordblood.com/client-center/Content/pdf/cbr\\_qualityserviceguarantee.pdf](https://www.cordblood.com/client-center/Content/pdf/cbr_qualityserviceguarantee.pdf). Please note that this guarantee does not apply to cord tissue.

### **5. Understanding Your Rights to the Sample(s)**

You agree that you are the child's legal guardian, and understand that CBR will treat you as the sole designated contact for all purposes relating to this Agreement, including but not limited to retrieval and use of the sample, access to your account, updating of contact information, payment of fees, and termination of this Agreement. In the event that you wish to assign your rights and/or obligations under this Agreement to a third party, both the third party and CBR must agree in writing.

Prior to the child's eighteenth birthday, you, as the child's legal guardian, may direct the release and use of the newborn stem cells. The rights to the newborn stem cells follow the child, so if you cease being the child's legal guardian, then you may not direct the release and use of the newborn stem cells, and the child's legal guardian obtains these rights to act on behalf of the child. CBR retains the right to require court documentation or a court order prior to releasing the sample.

Starting on the child's eighteenth birthday, the child directs the release and use of the newborn stem cells. You agree that on and after the child's eighteenth birthday, the retrieval and use of the newborn stem cells shall be at the sole direction of the child and that you have no further right or power to direct the release or use of the newborn stem cells. Effective as of the child's eighteenth birthday, you hereby release and renounce in favor of the child any and all right, title or interest that you may have in or relating to the newborn stem cells. You may continue to pay the annual storage fees for the benefit of your child.

### **6. Requests for Release of the Sample(s)**

A client may instruct CBR in writing to release the newborn stem cells. CBR shall not be required to release, prepare, ship or dispose of any newborn stem cells unless and until any and all payments required to be made under this Agreement have been paid in full. You may direct CBR to:

- Send the newborn stem cells to a physician (or agent) appropriately qualified to perform a transplant or medical procedure;
- Dispose of the newborn stem cells;
- Release the newborn stem cells for scientific research.

CBR can send a sample only to an entity that can receive a sample in compliance with all applicable rules and regulations. CBR may charge an administrative fee for preparation of the unit and paperwork. You are responsible for any third party costs, including shipping and services fees. CBR is required to maintain all records associated with your child's newborn stem cell sample, even after it has been released, so under limited circumstances, you may be charged a document maintenance fee. Any transfer or disposal will be performed in accordance with CBR's standard operating procedure in effect at the time of the request.

### **7. Payment of Fees**

You are responsible for the payment of all fees that may apply hereunder. CBR will attempt to contact you if a payment is overdue. If a payment is not received within 180 days of its due date, your account will be forwarded to a collection agency for further action. Your credit may be impacted. You consent to receive autodialed and/or pre-recorded calls from or on behalf of CBR for account collection purposes at the landline and/or mobile telephone numbers that you provide to CBR.

Except as set forth in Section 3, if you terminate this Agreement prior to CBR's storage of the sample(s), CBR will refund all amounts paid by you hereunder less an administrative fee of \$150. Different fees may apply for international customers. If you terminate this Agreement after CBR's storage of the sample(s), you will not receive a refund. If you prepay all or a portion of the storage fees, and you terminate this Agreement, you will not receive a refund of the pre-paid fees.

## 8. Term and Termination

This Agreement is effective upon your agreement. If you are not the birth mother, the birth mother must also agree to the Informed Consent and Authorization, and complete the Medical Health and History Profile.

This Agreement may be terminated by:

- You.
  - All payments required under this Agreement must be paid in full, and CBR's procedures in effect at the time of such termination must be followed.
  - If you are no longer the child's legal guardian, or if the child is eighteen years old or older, CBR will send written notice to the legal guardian or the child (as applicable) of the cancellation. The legal guardian or the child (as applicable) will have ninety (90) days to agree to take on all the rights and obligations under this Agreement. If the legal guardian or the child (as applicable) fails to agree within such period, then the Agreement will terminate. If the legal guardian or the child (as applicable) provides his or her agreement within such period, then the Agreement shall continue in his or her name with the legal guardian or the child (as applicable) succeeding to all of your rights and obligations under this Agreement.
- The child, starting on the child's eighteenth birthday.
  - All payments required under this Agreement must be paid in full, and CBR's procedures in effect at the time of such termination must be followed.
  - CBR will promptly notify you of any such termination.
- CBR.
  - If you fail to make any payment required within 180 days of its due date, following written notice to your last available address, CBR will attempt to send your account to a collections agency and may terminate your account anytime thereafter.
- Release of all samples from storage.
  - If all the samples have been released from storage by the child or his or her legal guardian, CBR will promptly notify you of any such expiration.
- As required by law or regulations.
  - If you move to a country where CBR is prohibited from providing newborn stem cell banking services, CBR may cease to maintain the newborn stem cells absent authorization from the U.S. Treasury Department.

Any expiration or termination of this Agreement is without prejudice to any rights, claims or causes of action that may have accrued prior to such expiration or termination.

In the event of your death, incapacity, or other failure to serve as legal guardian for the child, the child's successor legal guardian will have the right to become CBR's client under this Agreement.

If this Agreement terminates and the newborn stem cells remain in the custody of CBR, the samples become the property of CBR. The samples may be used for quality control or testing purposes, and may not be available for use by your family.

## 9. Notification of Account Changes

At all times while this Agreement remains in effect, you shall promptly notify CBR of changes to your and the child's contact information and your payment method. CBR is entitled to rely on the contact information contained in your online client account. Any changes of the child's legal guardian must be promptly disclosed to CBR.

To update your contact information, call CBR at **1-888-932-6568** or edit your information by logging into your Client Account at **[www.cordblood.com/Client-Center/MyAccount/Login.aspx](http://www.cordblood.com/Client-Center/MyAccount/Login.aspx)**. You can also contact us by postal mail at Cord Blood Registry, 1200 Bayhill Drive, San Bruno, CA 94066.

## 10. Third Party Beneficiary

The child is an intended third-party beneficiary of this Agreement with the right to enforce it without the need to join you.

### **11. Warranty Disclaimer**

You acknowledge and agree that neither CBR nor any of its shareholders, directors, officers, agents, employees or affiliates have made any representation, guaranty or warranty, express or implied, to you of any kind. You acknowledge and agree to the following specific disclaimers:

There is no guarantee or assurance regarding success in collecting newborn stem cells. Complications may occur during birth that preclude the collection of the newborn stem cells. You acknowledge that your healthcare provider makes the final determination whether or not to collect the newborn stem cells. Eligibility for storage cannot be fully assessed until the arrival and evaluation of a sample at CBR's laboratory. CBR maintains the right to reject or discard any samples in accordance with our standard operating procedures due to certain test results or lack of test results.

There is no guarantee that the newborn stem cells will not deteriorate or suffer another form of loss. There is no guarantee that the newborn stem cells will be of therapeutic value. You acknowledge that the newborn stem cells may never be used.

### **12. Limitation of Liability**

CBR HAS NO LIABILITY OF ANY KIND IN RESPECT OF CBR'S PERFORMANCE OR FAILURE TO PERFORM UNDER THIS AGREEMENT EXCEPT TO THE EXTENT ATTRIBUTABLE TO CBR'S GROSS NEGLIGENCE OR WILLFUL MISCONDUCT. IN NO EVENT SHALL CBR'S LIABILITY EXCEED THE TOTAL AMOUNT PAID BY CLIENT TO CBR UNDER THIS AGREEMENT. CBR SHALL NOT BE LIABLE FOR ANY SPECIAL, INDIRECT, CONSEQUENTIAL OR PUNITIVE DAMAGES (INCLUDING, WITHOUT LIMITATION, DAMAGES IN RESPECT OF BREACH OF CONTRACT, WARRANTY, STRICT LIABILITY OR TORT), WHETHER OR NOT CBR HAS BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGES. THE LIMITATIONS SET FORTH IN THIS PARAGRAPH SHALL APPLY NOTWITHSTANDING THE FAILURE OF THE ESSENTIAL PURPOSE OF ANY LIMITED REMEDY. THE LIMITATIONS SET FORTH IN THIS PARAGRAPH SHALL NOT APPLY TO THE \$50,000 QUALITY SERVICE GUARANTEE APPLICABLE TO STORED CORD BLOOD. (Please see important terms and conditions on the Certificate of Guarantee.)

CBR shall not be responsible for procedures or services performed by third parties, including, but not limited to, sample collection, lab testing, courier transport (including any One-Step Shipping courier), improper handling, or use during transplantation or medical procedure.

### **13. Assignment and Delegation**

CBR may assign its rights and delegate its obligations under this Agreement without further consent to any successor by merger or consolidation, to any purchaser of all or substantially all of CBR's assets relevant to the performance of the services to be provided by CBR hereunder, or to any entity that provides services similar to those that are to be provided by CBR hereunder or that intends to provide such services following any such assignment or delegation. CBR may perform services to be provided by it hereunder through subcontractors. This Agreement is not assignable by you without the prior written consent of CBR and any attempted assignment in breach of the foregoing restriction shall be void.

### **14. Governing Law**

This Agreement shall be governed by and construed in accordance with the laws of the State of California without reference to its conflicts of laws provisions. Any dispute or controversy arising between or among you, the child, and CBR shall be finally and conclusively resolved by binding arbitration following the rules provided in Title 9 of the California Code of Civil Procedure. In the event of arbitration, or any court proceedings, the court or arbitrator may award reasonable attorneys' fees and costs to the prevailing party in addition to any other relief to which the party is entitled.

### **15. Entire Agreement**

This Agreement, together with the Informed Consent and the Authorization to Release Personal Health Information, constitutes the entire Agreement between the parties and supersedes all previous agreements or representations by CBR, oral or written, relating to the subject matter of this Agreement.



## 16. Force Majeure

If CBR's performance of this Agreement is prevented, restricted, or interfered with by reason of fire, earthquake, or other casualty or accident, strikes or labor disputes, war or other violence, any law, order, proclamation, ordinance, demand, or requirement of any government agency, or any other act or condition beyond the control of CBR, then CBR shall be excused from such performance.

## 17. Severability

If any provision of this Agreement is held by a court of competent jurisdiction to be invalid, void, or unenforceable, the remaining provisions shall nevertheless continue in full force without being impaired or invalidated in any way.

## 18. General

This Agreement shall be binding on you and CBR and your and its heirs, personal representatives, successors and permitted assigns.

This Agreement may only be modified or amended by a writing signed by each party.

You acknowledge that you have read this Agreement, understand its terms and conditions, and intend to be legally bound by it.

Katharine Vaccarella

Name

Katharine Vaccarella

Signature (Electronically Signed)

1 / 23 / 2015

Date

*Please note: Your hospital may require a copy of this form (Sections 1 and 2) upon admission.*

# FAMILY BANKING ENROLLMENT FORMS

## SECTION 2 – INFORMED CONSENT

Shortly after your baby is delivered, and the umbilical cord is cut, excess blood remains in the umbilical cord and the placenta. This blood is rich in hematopoietic (blood-forming) stem cells that can be used to treat an adult or child with certain life-threatening conditions. Use of cord blood stem cells in regenerative medicine therapies is under evaluation. To collect the cord blood, the umbilical cord is cleaned and accessed with a needle attached to the CBR CellAdvantage® newborn stem cell collection bag. The blood remaining in the placenta and umbilical cord drains by gravity into the collection bag. As the blood is draining, there is no risk to the mother or the baby. There is no change in the actual delivery process. Cord blood can be collected after a vaginal or cesarean delivery.

The umbilical cord itself (cord tissue) contains a large number of mesenchymal stem cells, which are being researched as treatment for a number of conditions. To collect cord tissue, the healthcare provider cuts a 4-8 inch segment of cord tissue and places it into the CordCup® container. After the cord blood and cord tissue are collected, they are returned to the CBR CellAdvantage Newborn Stem Cell Collection Kit and sent to CBR's laboratory for testing, processing and storing. Cord tissue will require additional processing prior to use in medical treatment.

Although infrequent, complications may occur during birth that preclude the collection of newborn stem cells or affect the quality of the sample. Therefore, collection of newborn stem cells cannot be guaranteed. Your health and the health of your baby are your healthcare provider's first priorities. You agree that your healthcare provider's judgment is absolute and final. You agree to not hold your healthcare providers, hospital/birthing center, and its affiliates or its or their directors, officers, employees or agents responsible for the collection or failure to collect cord blood or cord tissue or for the handling of cord blood or cord tissue.

CBR will perform tests on the cord blood unit (including cell viability, total cell number, blood typing) to determine the nature and quality of the cord blood unit. The birth mother will provide a sample of blood that will be tested for certain infectious diseases including HIV, syphilis, hepatitis, and other viruses. The testing requirements for cord blood and the maternal blood draw will be updated periodically as required by various regulatory and testing agencies. Residual blood from the maternal sample may be used for FDA research purposes under an FDA-approved study to improve blood safety (for more information, see [www.cordblood.com/cts-ind](http://www.cordblood.com/cts-ind)).

The birth mother will authorize disclosure of these test results in connection with the release of the stem cells. Without this authorization, it may not be possible to use the stem cells in medical therapies. Test results may be reported to the birth mother, her physician, the baby's physician and legal guardians, a transplant physician or agent (if applicable) and to governmental regulatory agencies as required by law. Infectious disease tests are not always accurate and may give false positive results. Test results may be used for research or in publications so long as they are aggregated and do not contain donor information. Due to regulatory requirements, the absence of a maternal blood sample or the failure to adequately test maternal blood may preclude the stem cells from being used in medical therapies. The risks involved with giving a blood sample include bruising, redness, pain or discomfort, or inflammation around the needle insertion site.

The birth mother will answer a detailed questionnaire about her medical condition and the baby's potential exposure to infectious disease. The birth mother will authorize disclosure of this questionnaire in connection with the release of the stem cells. Without this authorization, it may not be possible to use the stem cells in medical therapies.

The stem cells may be used by the child or a first or second degree relative. Cord blood stem cells are not the treatment of choice for all diseases or conditions. The decision to use cord blood stem cells should be made in consultation with the attending physician. There are some diseases for which a person's own stem cells may not be usable for treatment. In addition, some diseases may be treatable by one person's stem cells but untreatable by a different person's stem cells.

Treatment using cord blood stem cells may not be effective. In the future, better therapies may be developed. Treatments using cord tissue stem cells are being researched. There is no current treatment that uses cord tissue and there is no guarantee that cord tissue will now or in the future be able to treat any disease or condition.

The cord blood and cord tissue will be a perfect match for the child and may or may not be an acceptable match for siblings and other family members. There are factors (including, but not limited to, HLA type, contamination, and cell count) that may impact the utility of the sample(s), as determined by the treating physician. The success of a stem cell transplant depends on many factors unrelated to the cord blood or cord tissue, including the degree of match between the donor and recipient, the condition of the recipient, and the type of condition being treated.

The newborn donor has property rights in the cord blood and cord tissue samples. Until the child's eighteenth birthday, the child's parent or legal guardian controls the use of the newborn stem cells. Once the child turns eighteen years old, the child controls the use of the newborn stem cells.

A client in good standing (i.e., whose account is current) may instruct CBR in writing to transfer or discard the newborn stem cells. Upon receipt of such request, CBR will inform the client of the requirements for transfer or destruction. Additional fees may apply. If the client's account terminates without instructions for the disposition of the newborn stem cells, ownership will transfer to CBR and the cells may be used for quality control and testing purposes.

CBR HAS NO LIABILITY OF ANY KIND IN RESPECT OF CBR'S PERFORMANCE OR FAILURE TO PERFORM UNDER THIS AGREEMENT EXCEPT TO THE EXTENT ATTRIBUTABLE TO CBR'S GROSS NEGLIGENCE OR WILLFUL MISCONDUCT. IN NO EVENT SHALL CBR'S LIABILITY EXCEED THE TOTAL AMOUNT PAID BY CLIENT TO CBR UNDER THIS AGREEMENT. CBR SHALL NOT BE LIABLE FOR ANY SPECIAL, INDIRECT, CONSEQUENTIAL OR PUNITIVE DAMAGES (INCLUDING, WITHOUT LIMITATION, DAMAGES IN RESPECT OF BREACH OF CONTRACT, WARRANTY, STRICT LIABILITY OR TORT), WHETHER OR NOT CBR HAS BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGES. THE LIMITATIONS SET FORTH IN THIS PARAGRAPH SHALL APPLY NOTWITHSTANDING THE FAILURE OF THE ESSENTIAL PURPOSE OF ANY LIMITED REMEDY.

CBR shall not be responsible for procedures or services performed by third parties, including, but not limited to, sample collection, lab testing, courier transport (including any One-Step Shipping<sup>SM</sup> courier), improper handling, or use during transplantation or medical procedure.

You can withdraw consent for procurement and it will not affect your or your baby's access to medical care. You agree that you have been given the opportunity to ask questions and your questions have been answered satisfactorily.

CBR has developed a privacy policy that governs how CBR collects, uses, discloses and stores your information. Please read the privacy policy at [www.cordblood.com/privacy](http://www.cordblood.com/privacy) to understand how your information will be treated. CBR may from time to time amend this Privacy Policy. If CBR makes material changes to this Policy, CBR will post the revised Policy and the revised effective date on the website.

Required disclaimer for New York residents: Specific to Cord Tissue: CBR's activities for New York State residents are limited to collection of umbilical cord tissue and long-term storage of umbilical cord-derived stem cells. CBR's possession of a New York State license for such collection and long-term storage does not indicate approval or endorsement of possible future uses or future suitability of these cells.

Katharine Vaccarella

Name

Katharine Vaccarella

Signature (Electronically Signed)

1 / 23 / 2015

Date

Please note: Your hospital may require a copy of this form (Sections 1 and 2) upon admission.



### SECTION 3 – MEDICAL AND HEALTH HISTORY PROFILE

A Medical and Health History Profile is required by regulatory agencies. Please complete the information below to the best of your knowledge.

Mother's Full Name: Katharine Vaccarella

Please print clearly

Father's Full Name: [REDACTED]

Please print clearly

Social Security #: [REDACTED]

Social Security #: [REDACTED]

Date of Birth: [REDACTED]

Date of Birth: [REDACTED]

Address: [REDACTED]

City: [REDACTED]

State: [REDACTED]

Zip: [REDACTED]

Country: [REDACTED]

Home Phone: [REDACTED]

Cell Phone: [REDACTED]

Fax: [REDACTED]

Email (Primary): [REDACTED]

Email (Secondary): [REDACTED]

Expected Due Date: [REDACTED]

Maiden Name: [REDACTED]

Emergency Contact: [REDACTED]

Phone: [REDACTED]

Primary OB/Midwife Name: [REDACTED]

Office Phone: [REDACTED]

Office Address: [REDACTED]

City: [REDACTED]

State: [REDACTED]

Zip: [REDACTED]

Country: [REDACTED]

#### Health of mother:

Are you in good general health?

Are you currently taking an antibiotic or other medication for an infection?

#### In the past 12 months, have you or the baby's father:

Had body piercing, tattoo, accidental needle stick, or unprotected contact with someone else's blood?

Been incarcerated in a correctional facility for more than 72 hours?

Been diagnosed with or exposed to tuberculosis or been in a malaria endemic country?

Lived with or had sexual contact with anyone who has been diagnosed with hepatitis?

Had sexual contact with someone who is HIV positive?

Had sexual contact with someone who takes money, drugs, or other payment for sex?

Had sexual contact with someone who has ever used needles to take drugs, steroids, or anything not prescribed by their doctor?

Had sexual contact with a male who has ever had sexual contact with another male?

#### In the past 12 months, have you (mother only):

Had or been treated for syphilis, gonorrhea, or other sexually transmitted disease?

Had a blood transfusion or tissue graft such as bone or skin?

Had a West Nile virus infection?

#### In the past 6 months, have you (mother only):

Received a bite from an animal suspected of rabies?

#### In the past 8 weeks, have you (mother only):

Had any vaccinations or other shots (excluding Rhogam and/or the seasonal flu shot)?

Had close contact with someone who received a smallpox vaccination?

Please explain or clarify any "yes" answers:

#### From 1980 through 1996 (mother only):

Did you spend a total time of three (3) months or more in the United Kingdom?

Were you stationed overseas as a member of the U.S. military, a civilian military employee, or a dependent of a member of the U.S. military?

#### From 1980 to the present, did you (mother only):

Spend time that adds up to five (5) years or more in Europe?

Receive a blood transfusion in the United Kingdom or France?

#### Have you ever (mother only):

Received human pituitary growth hormone?

Received a dura mater graft or organ/bone marrow transplant?

Had or been treated for malaria, Chagas disease, babesiosis, or other parasitic disease?

Been diagnosed with cancer other than skin or cervical cancer?

Chronically abused drugs or alcohol?

Had a significant exposure to a toxic substance (such as lead or mercury)?

#### Have you or the baby's father ever:

Received money, drugs, or other payment for sex?

Used needles to take drugs, steroids, or anything not prescribed by your doctor?

Been in Africa or had sexual contact with anyone who was born in or lived in Africa?

Been turned down as a blood donor?

Been diagnosed with or had a relative diagnosed with

Creutzfeldt-Jacob disease?

Used clotting factors for a bleeding disorder such as hemophilia?

Been a recipient of xenotransplantation (animal to human transplant)?

#### Have you or the baby's father ever:

Mother: [REDACTED]

Father: [REDACTED]

Tested positive for hepatitis B?

Tested positive for hepatitis C?

Tested positive for HIV/AIDS virus?

Tested positive for HTLV-I or II?

Katharine Vaccarella

Client Signature Electronically Signed:

Date: 1 / 23 / 2015

MM

DD

YYYY

Date:    /    /   

MM

DD

YYYY

Medical Director or Designee (for CBR personnel only)





## SECTION 4 - PAYMENT INFORMATION

### First Year Fees

#### Payment Option: Pay in Full

##### Product

	Start Price	Qty	Subtotal
Cord Blood Processing Fee	\$1,695.00	1	\$1,695.00
One-Step Shipping <sup>SM</sup>	\$170.00		\$170.00
Annual Storage Fee - Cord Blood	\$130.00	1	\$130.00
<b>Total</b>			\$1,995.00
Special Savings	-\$400.00	1	-\$400.00
<b>Total First Year Fees:</b>			\$1,595.00*

### Annual Storage Fee

#### Payment Option: Annual Storage Option

Annual Storage Fee - Cord Blood	\$130.00	1	\$130.00
<b>Annual Storage Fee Per Year (Years 2-18)</b>			\$130.00**

\*Amount will be charged to the credit card on file. Price includes CBR's CellAdvantage Collection Kit and the CBR services elected by the Client (cell processing of Cord Blood, and/or preparation of Cord Tissue, and cryogenic storage process). No fees charged until processing and/or preparation is complete.

\*\*Annual storage fees will be charged automatically to the credit card on file, on or around your baby's birthday.

**Please ensure the payment information below is filled out completely.**

I authorize CBR to bill the following credit card:

Card Number:

Credit Card Billing Address:

Exp. Date

Name on Card: Katharine A Vaccarella

City:

State:

Zip:

### Acknowledgment of Terms and Conditions

I have read the *Client Service Agreement* (Section 1), signed the *Informed Consent* (Section 2), and completed the *Medical and Health History Profile* (Section 3). All of my questions regarding the service have been answered to my satisfaction. I agree to the terms and conditions set forth in the aforementioned documents and agree to the payment terms and authorize the credit card payment listed above.

(Electronically Signed)

1 / 23 / 2015

Date

Payment plans are available. Please contact your CBR representative for details. **Please note:** Fees apply to single-birth, U.S. customers only. Prices are subject to change. Cancellations are subject to a \$150 fee; full processing or preparation fees are charged if cancellation is requested after the sample(s) have been stored. If paying by check, all fees are due at time of enrollment.



## Authorization to Release Personal Health Information

You are being asked to sign this authorization form to permit CBR to disclose certain information about you and/or your child.

By signing this form, you authorize CBR to release the following records that contain your or your child's personal health information. Your personal health information includes medical information and information that can identify you. For example, it may include your name, address, phone number, or Social Security number.

- The Medical Health and History Profile
- The results of the tests performed on the maternal blood draw
- The results of the tests performed on the cord blood and cord tissue
- Any medical information that you have disclosed to CBR about you or your child

CBR may release personal health information to your child, your child's physician, your child's legal guardian, your physician, and the transplant physician/facility (or its or their agent, if applicable). Your authorization covers disclosures made at your request, at your child's request (once the child reaches eighteen years of age), and at the request of your child's legal guardian (if any other than you). It covers requests made by the physician of you, your child, your child's legal guardian, and the transplant physician. It also covers releases by CBR to your or your child's physician about your enrollment, education, consent and collection.

You have a right to refuse to sign this authorization. However, regulations governing cord blood transplantation and medical procedures require the release of this information to the physician performing the procedure. Therefore, signing this form is a required part of the CBR enrollment process. Your refusal to sign this form will impact your ability to use your child's newborn stem cells.

You have a right to revoke this authorization at any time by notifying CBR in writing at:  
Cbr Systems, Inc.  
Attention: Client Services  
1200 Bayhill Drive  
San Bruno, California 94066

Your refusal to sign or your decision to revoke your authorization will not affect any disclosure of information that CBR may have made prior to CBR's receipt of your revocation. A copy of the signed authorization form will be provided to you by CBR upon your request.

This authorization will expire upon the release of the cord blood and cord tissue stem cells by CBR.

You authorize CBR to use all information and test results obtained by CBR without restriction provided that confidentiality is maintained and data blinded. You understand that personal information used or disclosed pursuant to this authorization may be re-disclosed by the recipient and its confidentiality may no longer be protected by state or federal law.

Katharine Vaccarella

Signature (Electronically Signed)

Katharine Vaccarella

Name

1 / 23 / 2015

Date